


FORM PTO-1390 (REV. 9-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				1854	
				U.S. APPLICATION NO. (If known, see 37 CFR 1.5)	
INTERNATIONAL APPLICATION NO. PCT/US 00/09957		INTERNATIONAL FILING DATE 13 April 2000		PRIORITY DATE CLAIMED	
TITLE OF INVENTION COSMETIC RESIN COMPOSITION AND COSMETIC USING THE SAME					
APPLICANT(S) FOR DO/EO/US NATIONAL STARCH AND CHEMICAL INVESTMENT HOLDING CORPORATION					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> is attached hereto.</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input type="checkbox"/> Other items or information:</p>					

U.S. APPLICATION NO. 10/009960 INTERNATIONAL APPLICATION NO. PCT/US 00/09957		ATTORNEY'S DOCKET NUMBER 1854	
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$1040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =		CALCULATIONS PTO USE ONLY	
		\$ 890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input checked="" type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	16 - 20 =	0	x \$18.00
Independent claims	1 - 3 =	0	x \$84.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+	\$280.00
TOTAL OF ABOVE CALCULATIONS =		\$ 1,020.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$	
SUBTOTAL =		\$ 1,020.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$	
TOTAL NATIONAL FEE =		\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$	
TOTAL FEES ENCLOSED =		\$ 1,020.00	
		Amount to be refunded:	\$
		charged:	\$
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>14-0455</u> in the amount of \$ <u>1,020.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>14-0455</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.			
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.			
SEND ALL CORRESPONDENCE TO: Karen G. Kaiser National Starch and Chemical Company 10 Finderne Avenue PO Box 6500 Bridgewater, New Jersey 08807		 SIGNATURE Karen G. Kaiser NAME 33,506 REGISTRATION NUMBER	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE CLAIMS

Amend claims 3 to 6 to read:

3. The cosmetic resin composition according to claim 1, wherein the amphoteric urethane resin is obtained by reacting the following components (A) to (D) in excess of isocyanate groups so as to produce a prepolymer containing isocyanate groups and reacting the prepolymer with the following component (E);

- (A) a polyol compound;
- (B) a polyisocyanate compound;
- (C) a polysiloxane compound having an active hydrogen;
- (D) a compound having an active hydrogen and a carboxyl group;
- (E) a compound having an active hydrogen and a tertiary amino group.

4. The cosmetic resin composition according to claim 1, wherein the amphoteric urethane resin is obtained by reacting the following components (A), (B), (C) and (E) in excess of isocyanate groups so as to produce a prepolymer containing isocyanate groups and reacting the prepolymer with the following (D);

- (A) a polyol compound;
- (B) a polyisocyanate compound;
- (C) a polysiloxane compound having an active hydrogen;
- (D) a compound having an active hydrogen and a carboxyl group;
- (E) a compound having an active hydrogen and a tertiary amino group.

5. The cosmetic resin composition according to claim 1, wherein the amphoteric urethane resin is dispersed or solubilized into water.

6. A cosmetic using the cosmetic resin composition according to claim 1.

Add new claims 8-16 to read:

--8. The cosmetic resin composition according to claim 2, wherein the amphoteric urethane resin is obtained by reacting the following components (A) to (D) in excess of isocyanate groups so as to produce a prepolymer containing isocyanate groups and reacting the prepolymer with the following component (E);

- (A) a polyol compound;
- (B) a polyisocyanate compound;
- (C) a polysiloxane compound having an active hydrogen;
- (D) a compound having an active hydrogen and a carboxyl group;
- (E) a compound having an active hydrogen and a tertiary amino group.

9. The cosmetic resin composition according to claim 2, wherein the amphoteric urethane resin is obtained by reacting the following components (A), (B), (C) and (E) in excess of isocyanate groups so as to produce a prepolymer containing isocyanate groups and reacting the prepolymer with the following (D);

- (A) a polyol compound;
- (B) a polyisocyanate compound;
- (C) a polysiloxane compound having an active hydrogen;
- (D) a compound having an active hydrogen and a carboxyl group;
- (E) a compound having an active hydrogen and a tertiary amino group.

10. The cosmetic resin composition according to claim 2, wherein the amphoteric urethane resin is dispersed or solubilized into water.
11. The cosmetic resin composition according to claim 3, wherein the amphoteric urethane resin is dispersed or solubilized into water.
12. The cosmetic resin composition according to claim 4, wherein the amphoteric urethane resin is dispersed or solubilized into water.
13. A cosmetic using the cosmetic resin composition according to claim 2.
14. A cosmetic using the cosmetic resin composition according to claim 3.
15. A cosmetic using the cosmetic resin composition according to claim 4.
16. A cosmetic using the cosmetic resin composition according to claim 5.--

STATUS OF THE CLAIMS

Claims 1-7 were pending.

Claims 3-6 have been amended. A copy of the marked up claims may be found in Appendix A.

Claims 8-16 have been added. A copy of the added claims may be found in Appendix A.

Claims 1-16 are presented for consideration. A copy of the pending claims may be found in Appendix B.

REMARKS

Claims 3-6 have been amended to comply with US patent practice. New claims 8-16 have been added which have descriptive basis in the originally filed claims.

Applicant respectfully requests early action in this case.

Respectfully submitted,



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Dated: 11 December 2001

Appendix A
(marked up and new claims)

3. (amended once) The cosmetic resin composition according to claim 1 [or 2], wherein the amphoteric urethane resin is obtained by reacting the following components (A) to (D) in excess of isocyanate groups so as to produce a prepolymer containing isocyanate groups and reacting the prepolymer with the following component (E);[.]

- (A) a polyol compound;
- (B) a polyisocyanate compound;
- (C) a polysiloxane compound having an active hydrogen;
- (D) a compound having an active hydrogen and a carboxyl group;
- (E) a compound having an active hydrogen and a tertiary amino group.

4. (amended once) The cosmetic resin composition according to claim 1 [or 2], wherein the amphoteric urethane resin is obtained by reacting the following components (A), (B), (C) and (E) in excess of isocyanate groups so as to produce a prepolymer containing isocyanate groups and reacting the prepolymer with the following (D);[.]

- (A) a polyol compound;
- (B) a polyisocyanate compound;
- (C) a polysiloxane compound having an active hydrogen;
- (D) a compound having an active hydrogen and a carboxyl group;
- (E) a compound having an active hydrogen and a tertiary amino group.

5. (amended once) The cosmetic resin composition according to [any of] claim[s] 1 [to 4], wherein the amphoteric urethane resin is dispersed or solubilized into water.

6. (amended once) A cosmetic using the cosmetic resin composition according to [any of] claim[s] 1 [to 5].

8. (new) The cosmetic resin composition according to claim 2, wherein the amphoteric urethane resin is obtained by reacting the following components (A) to (D) in excess of isocyanate groups so as to produce a prepolymer containing isocyanate groups and reacting the prepolymer with the following component (E);

- (A) a polyol compound;
- (B) a polyisocyanate compound;
- (C) a polysiloxane compound having an active hydrogen;
- (D) a compound having an active hydrogen and a carboxyl group;
- (E) a compound having an active hydrogen and a tertiary amino group.

9. (new) The cosmetic resin composition according to claim 2, wherein the amphoteric urethane resin is obtained by reacting the following components (A), (B), (C) and (E) in excess of isocyanate groups so as to produce a prepolymer containing isocyanate groups and reacting the prepolymer with the following (D);

- (A) a polyol compound;
- (B) a polyisocyanate compound;

Appendix B
(claims as pending)

1. A cosmetic resin composition consisting essentially of an amphoteric urethane resin having a carboxyl group and a tertiary amino group in one molecule thereof, the amphoteric urethane resin having polysiloxane linkage in its structure.
2. The cosmetic resin composition according to claim 1, wherein the cosmetic resin composition is for a hair fixative.
3. The cosmetic resin composition according to claim 1, wherein the amphoteric urethane resin is obtained by reacting the following components (A) to (D) in excess of isocyanate groups so as to produce a prepolymer containing isocyanate groups and reacting the prepolymer with the following component (E);
 - (A) a polyol compound;
 - (B) a polyisocyanate compound;
 - (C) a polysiloxane compound having an active hydrogen;
 - (D) a compound having an active hydrogen and a carboxyl group;
 - (E) a compound having an active hydrogen and a tertiary amino group.
4. The cosmetic resin composition according to claim 1, wherein the amphoteric urethane resin is obtained by reacting the following components (A), (B), (C) and (E) in excess of isocyanate groups so as to produce a prepolymer containing isocyanate groups and reacting the prepolymer with the following (D);

- (A) a polyol compound;
- (B) a polyisocyanate compound;
- (C) a polysiloxane compound having an active hydrogen;
- (D) a compound having an active hydrogen and a carboxyl group;
- (E) a compound having an active hydrogen and a tertiary amino group.

5. The cosmetic resin composition according to claim 1, wherein the amphoteric urethane resin is dispersed or solubilized into water.

6. A cosmetic using the cosmetic resin composition according to claim 1.

7. The cosmetic according to claim 6, wherein the cosmetic is at least one selected from the group consisting of a mousse hair fixative, a gel hair fixative, a spray hair fixative, a pump spray hair fixative, a conditioning shaving cream agent and a film forming agent.

8. The cosmetic resin composition according to claim 2, wherein the amphoteric urethane resin is obtained by reacting the following components (A) to (D) in excess of isocyanate groups so as to produce a prepolymer containing isocyanate groups and reacting the prepolymer with the following component (E);

- (A) a polyol compound;
- (B) a polyisocyanate compound;

- (C) a polysiloxane compound having an active hydrogen;
- (D) a compound having an active hydrogen and a carboxyl group;
- (E) a compound having an active hydrogen and a tertiary amino group.

9. The cosmetic resin composition according to claim 2, wherein the amphoteric urethane resin is obtained by reacting the following components (A), (B), (C) and (E) in excess of isocyanate groups so as to produce a prepolymer containing isocyanate groups and reacting the prepolymer with the following (D);

- (A) a polyol compound;
- (B) a polyisocyanate compound;
- (C) a polysiloxane compound having an active hydrogen;
- (D) a compound having an active hydrogen and a carboxyl group;
- (E) a compound having an active hydrogen and a tertiary amino group.

10. The cosmetic resin composition according to claim 2, wherein the amphoteric urethane resin is dispersed or solubilized into water.

11. The cosmetic resin composition according to claim 3, wherein the amphoteric urethane resin is dispersed or solubilized into water.

12. The cosmetic resin composition according to claim 4, wherein the amphoteric urethane resin is dispersed or solubilized into water.

13. A cosmetic using the cosmetic resin composition according to claim 2.
14. A cosmetic using the cosmetic resin composition according to claim 3.
15. A cosmetic using the cosmetic resin composition according to claim 4.
16. A cosmetic using the cosmetic resin composition according to claim 5.

COSMETIC RESIN COMPOSITION

5 The present invention relates to a cosmetic resin composition consisting essentially of amphoteric urethane resin and a cosmetic using such a resin composition, and particularly, to a cosmetic resin composition used for a skin care product, a hair care product and the like as a hair fixative, a film forming
10 agent, a conditioning agent, a viscosity controlling agent and the like, and a cosmetic using the same.

Conventionally, a cationic acrylic resin, an anionic acrylic resin, an amphoteric or anionic/cationic acrylic resin, a nonionic polyvinyl pyrrolidone resin and the like are used as a base resin of
15 a hair fixative. When such a resin is used as a base resin, hair is set stiff, resulting in a good setting property (stiffness), but feel and combability are inferior and also flaking may occur. On the other hand, when feel and combability come first, not only setting property becomes insufficient, but also problems such as
20 stickiness and the like may occur. Thus, it is difficult for the conventional base resin to satisfy all properties required for a hair fixative, such as stiffness, feel, combability and anti-flaking property.

Then, an application of an anionic urethane resin as a base
25 resin for a hair fixative is proposed as seen in Japanese Provisional Publication TOKKAIHEI 6-321741. When such an anionic urethane resin is used, a hair fixative having good stiffness, feel, shampoo removability and anti-flaking property, which are inconsistent to each other, can be prepared. In this viewpoint, a hair fixative

using such an anionic urethane resin is superior to a hair fixative using the above mentioned acrylic resin. However, when the anionic urethane resin is used, problems such as a rough feel, inferiority in gloss and combability may be caused.

5 Accordingly, It is an object of the present Invention to provide a cosmetic resin composition for preparation of a hair fixative having all the properties of stiffness, shampoo removability, feel, gloss, combability and anti-flaking property and to provide a cosmetic using such a cosmetic resin
10 composition.

 In accordance with a first aspect of the present Invention to achieve the object described above, there is provided a cosmetic resin composition consisting essentially of an amphoteric urethane resin having a carboxyl group and a tertiary
15 amino group in one molecule thereof, the amphoteric urethane resin having polysiloxane linkage in its structure. In accordance with a second aspect of the present invention, there is provided cosmetics using the cosmetic resin composition.

 The Inventors of the present invention found that a hair
20 fixative having stiffness, feel, combability, anti-flaking property and the like can be obtained by using an amphoteric urethane resin having a carboxyl group and a tertiary amino group in one molecule thereof as a base resin and filed a patent application about a resin composition consisting essentially of the
25 amphoteric urethane resin (Japanese Patent Application No. TOKUGANHEI 10-27595). Improvement in each property when using the amphoteric resin is due to the following reason. That

is, using the urethane resin as a main skeleton of a base resin makes it possible that stiffness is compatible with feel, combability and anti-flaking property, which are originally contrary to each other, due to elasticity and toughness of the urethane resin. Further, by using the amphoteric urethane resin having a carboxyl group and a tertiary amino group, it becomes possible to prepare a hair fixative superior in waterproof against neutral water because the carboxyl group and the tertiary amino group are ion-bonded, and also superior in shampoo removability because the ion-bond is cut by shampoo. In addition, since the amphoteric urethane resin has a cationic tertiary amino group, which interacts with a negatively charged hair surface, in its molecular chains, better adhesion can be obtained compared with a conventional anionic urethane resin.

The inventors further made researches about the resin composition consisting essentially of the amphoteric urethane resin. As a result, it was found that further improvement in gloss, tactile property, combability and anti-flaking property can be obtained by introducing polysiloxane linkage in the structure of the amphoteric urethane resin. Thus, the inventors have attained the present invention.

The present invention will hereinafter be described by way of embodiments thereof.

The cosmetic resin composition of the present invention consists essentially of an amphoteric resin having a carboxyl group and a tertiary amino group in one molecule thereof. The most characteristic point of the present invention is that the

amphoteric urethane resin has polysiloxane linkage in its structure. In addition, the phrase "consisting essentially of an amphoteric urethane resin" means generally preparation of the cosmetic resin composition of interest by adding other
5 components into the amphoteric urethane resin, however, includes the case where the cosmetic resin composition consists only of the amphoteric urethane resin.

The above-mentioned amphoteric urethane resin having polysiloxane linkage may be obtained, for example, by reacting a
10 polyol compound [component (A)], a polyisocyanate compound [component (B)], a polysiloxane compound [component (C)] having an active hydrogen and a compound [component (D)] having an active hydrogen and a carboxyl group with each other in excess of isocyanate groups so as to produce a prepolymer
15 containing an isocyanate group and reacting the prepolymer with a compound [component (E)] having an active hydrogen and a tertiary amino group. Alternatively, the amphoteric urethane resin having polysiloxane linkage may be obtained by changing the reaction order of the component (D) for the component (E),
20 i.e., by reacting component (A), the component (B), the component (C) and the component (E), i.e., by reacting the component (A), the component (B), the component (C) and the component (E) in excess of isocyanate groups so as to produce a prepolymer containing an isocyanate group and reacting the
25 prepolymer with the component (D). The amphoteric urethane resin of interest can be produced more easily and more safely by such methods than the conventional methods. In addition, if

both the component (D) and the component (E) are simultaneously reacted together with the components (A) to (C) in the above methods, a carboxyl group of the component (E) initially form a salt which becomes insoluble to the reaction system and a reaction with the isocyanate compound may not occur even in the presence of an OH group. As a result, the amphoteric urethane resin of interest cannot be produced. That is, as above mentioned, the amphoteric urethane resin having polysiloxane linkage can be produced by firstly reacting one of the component (D) and the component (E) together with the components (A) to (C) and then reacting the other component (D) or (E).

The polyol compound of the component (A) is not specifically limited, but any of the polyol compounds generally used for producing polyurethane. Examples of the polyol compound include polyester polyol, polyether polyol, polycarbonate polyol, polybutadiene polyol, polyisoprene polyol, polyolefin polyol, polyacrylic ester polyol. These may be used either alone or in combination thereof. Among all, polyester polyol and polyether polyol are especially preferred. Examples of the polyester polyol include products obtained by condensation polymerizing at least one of dicarboxylic acids such as succinic acid, glutaric acid, adipic acid, sebacic acid, azelaic acid, maleic acid, fumaric acid, phthalic acid, terephthalic acid and the like with at least one of polyhydric alcohols such as ethylene glycol, propylene glycol, 1,4-butanediol, 1,3-butanediol, 1,6-hexanediol, neopentyl glycol, 1,8-octanediol, 1,10-decanediol, diethylene

glycol, spiroglycol, trimethylolpropane and the like, and products obtained by ring-opening addition polymerizing cyclic ethers such as ethylene oxide, propylene oxide, oxetane and tetrahydrofuran into water, polyol, phenols such as bisphenol A, hydrogenated phenols, primary amines and secondary amines. Examples of such products include polyoxyethylene polyol, polyoxypropylene polyol, polyoxytetramethylene polyol and products (either a blocked copolymer or a random copolymer in case of a copolymer) obtained by ring-opening addition polymerizing at least one of propylene oxide and ethylene oxide into bisphenol A.

The polyisocyanate compound of the component (B) is not specifically limited. Examples thereof include organic diisocyanate compounds such as aliphatic diisocyanate compounds, alicyclic diisocyanate compounds and aromatic diisocyanate compounds. These may be used either alone or in combination thereof. Examples of the aliphatic diisocyanate compounds include ethylene diisocyanate, 2,2,4-trimethyl hexamethylene diisocyanate, 1,6-hexamethylene diisocyanate. Examples of the alicyclic diisocyanate compounds include hydrogenated diphenyl methane-4,4'-diisocyanate, 1,4-cyclohexane diisocyanate, methylcyclohexylene diisocyanate, isophorone diisocyanate, norbornane diisocyanate. Examples of the aromatic diisocyanate compounds include diphenyl methane-4,4'-diisocyanate, xylene diisocyanate, toluene diisocyanate, toluene diisocyanate and naphthalene diisocyanate. Among all, 1,6-hexamethylene diisocyanate, isophorone diisocyanate,

norbornane diisocyanate and the like are preferred in viewpoints of the performance cost.

The specific polysiloxane compound of the component (C) is not specifically limited, but any of compound wherein polysiloxane linkage, a chain of siloxane bonds (Si-O), can be introduced into the structure of the amphoteric urethane resin, may be used. The polysiloxane linkage introduced into the structure of the amphoteric urethane resin preferably has a repetitive number n of a range between 5 and 300, more preferably a range between 20 and 150. That is, where the repetitive number n is less than 5, the proportion of the polysiloxane linkage of thus obtained amphoteric urethane resin becomes too low and sufficient effect on feel, combability and the like, which may be originally obtained by introduction of the polysiloxane bond, may not be obtained. On the other hand, where the repetitive number n is more than 300, a high hydrophobic property deteriorates compatibility with other materials and becomes difficult to react therewith. Further, since the thus obtained polymer becomes too hydrophobic, there may be caused the fear that adhesion after combing is prohibited.

The specific polysiloxane compound of the component (C) is not specifically limited, but any of compound which have an active hydrogen and polysiloxane linkage in its molecule. Examples include a compound having OH groups at both terminals, a compound having NH₂ groups at both terminals, a compound having an OH group at one terminal and a compound having an NH₂ at one terminal. Where the compound having OH

groups at both terminals or the compound having NH_2 groups at both terminals is used, the amphoteric urethane resin having polysiloxane linkage as a main chain can be obtained. Where the compound having an OH group at one terminal or the compound
5 having an NH_2 at one terminal is used, the amphoteric urethane resin having polysiloxane linkage as a side chain or at a terminal can be obtained.

Examples of such polysiloxane compound [component (C)] may comprise combinations, each which has a different number
10 in carbons of the alkyl groups to be combined with Si of each siloxane bond. Specifically, examples of the polydialkyl siloxane diol include polydimethyl siloxane diol and polymethylethyl siloxane diol. Examples of the polydialkyl siloxane monool include
15 polydimethyl siloxane monool and polymethylethyl siloxane monool. Examples of the polydialkyl siloxane diamine and polymethylethyl siloxane diamine. Examples of the polydialkyl siloxane monoamine polymethylethyl siloxane monoamine.

The compound [component (D)] having an active hydrogen and a carboxyl group is not specifically limited, but any of
20 compound which may have at least one active hydrogen and at least one carboxyl group in its molecule. Examples include dimethylol propionic acid (DMPA), dimethylol butanoic acid and polycaprolactone diol having a carboxyl group. These may be used either alone or in combination thereof.

25 The compound [component (E)] having an active hydrogen and a tertiary amino group is not specifically limited, but any of compound which may have at least one active hydrogen and at

least one tertiary amino group in its molecule. Examples include N-alkyldialkanolamine compound such as N-methyldiethanolamine, and dimethylaminoethanol. These may be used either alone or in combination thereof.

5 In preparation of the prepolymer containing an isocyanate group by using each of the above components, it is preferred to use a chain extender. The use of the chain extender makes it possible to adjust various properties of the obtained amphoteric urethane resin as a final product. The chain extender is not
10 specifically limited. Examples include low molecular weight polyols include glycols such as ethylene glycol, propylene glycol, 1,4-butanediol, diethylene glycol, 1,6-hexanediol, spiroglycol, bis-(hydroxyethoxy) benzene and xylene glycol and triols such as trimethylolpropane and glycerin. Examples of the amines include
15 methylene (bis-o-chloroaniline).

In preparation of the amphoteric urethane resin, solvents may be used, as required. For example, it is especially preferred to use solvents which may solve both a raw material and the obtained polyurethane. Examples include amides such as N-
20 methyl pyrrolidone, dimethylformamide and dimethylacetamide, ketones such as acetone and methyl ethyl ketone and esters such as ethyl acetate as well as cellosolve acetate and cellosolve ether. In addition, in preparation of the amphoteric urethane resin, a polymerization catalyst conventionally known in the field of
25 polyurethane may be used. Examples include tertiary amine catalyst and organometallic catalyst. As the tertiary amine catalyst, [2,2,2] diazabicyclo octane (DABCO), tetramethylene

diamine, N-methylmorpholine, diazabicyclo undecene (DBU) may be used. As the organometallic catalyst, examples include dibutyltindilaurate.

It is preferred that the ratio of the carboxyl group and the
5 tertiary amino group (the ratio of the numbers of both groups) in the amphoteric urethane resin obtained by using each of the above components is the carboxylic group / the tertiary group = 1/10 to 10/1. That is, when the ratio is within the above range, excellent washability may be imparted.

10 In addition, any other ingredients generally used in cosmetics other than the specific amphoteric urethane resin, such as pigment, coloring matter, colorant, fragrance, surfactant, moisturizer, preservative, antiseptic, disinfectant and antioxidant may be added to the cosmetic resin composition.

15 The cosmetic resin composition of the present invention is used for, for example, hair fixatives such as a mousse hair fixative, a gel hair fixative, a spray hair fixative and a pump spray hair fixative, a conditioning shaving cream agent, a film forming agent such as skin care lotion, foundation, eye liner and
20 manicure, and viscosity adjuster. Especially, the cosmetic resin composition is useful for a hair fixative.

It is preferred that the specific amphoteric urethane resin is applied for the above usage after being dispersed as a dispersion by dispersing thereof into water or being solubilized
25 as an aqueous solution by solubilizing thereof into water, respectively. In this case, it is preferred that the amphoteric urethane resin is dispersed into water containing aliphatic

polyamine. That is, when the polymer is reacted with the aliphatic polyamine as the polymer is dispersed into water by controlling polymerization in excess of isocyanate groups, NH groups and NH₂ groups of the aliphatic polyamide rapidly react
5 with the Isocyanate groups to generate urea bonds in water, resulting in acceleration of polymerization. The aliphatic polyamine is not specifically limited. Examples include triethylamine, ethylene diamine, propylene diamine, piperazine and diethylene triamine. Further, it is possible that silane
10 coupling agent may be added into the dispersion of the amphoteric urethane resin for improvement of adhesive property with other base materials. Still further, various antibacterial agent and an antifungal agent may be added to give preservation stability.

15 Examples will next be described along with Comparative Examples.

Example 1

70 g of isophorone diisocyanate (IPDI), 80 g of diethylene glycol adipate (DEGA, molecular weight 2,000), 20 g of an adduct
20 of bisphenol A with ethylene oxide (Newpol BP3P, available from Sanyo Chemical Industries Ltd., molecular weight 400), 26 g of polydimethyl siloxane diol (a type having OH groups at both terminals, molecular weight 2,000) and 16.9 g of dimethylol propionic acid (DMPA) were put into a four-neck flask provided
25 with a stirrer, a thermometer, an inlet tube for nitrogen and a reflux condenser. Then, 50 g of ethyl acetate as a solvent and 0.02 g of dibutyltin dilaurate (DBTDL) as a catalyst were added

thereto, warmed to 80 by an oil bath to be allowed to react for 4 hours. Thereafter, 5.6 g of N-methyldiethanolamine (NMDETA) and 60 g of ethyl acetate were added thereto and allowed to react at 80 for 2 hours, for obtaining polyurethane prepolymer solution
5 wherein NCO groups remain. After thus obtained polyurethane prepolymer wherein the NCO groups remain was cooled down to 50, 600 g of water containing 12.7 g of triethylamine and 3.3 g of diethanolamine was added thereto under high-speed agitation to be dispersed, and then polymerized by chain-lengthening
10 reaction at 50 for 3 hours. The ethyl acetate was withdrawn from thus obtained dispersion and an amphoteric urethane resin dispersion substantially not including a solvent and having a dimethyl siloxane chain in its structure was obtained.

Example 2

15 70 g of isophorone diisocyanate (IPDI), 56 g of polytetraoxy methylene glycol (PTMG, molecular weight 2,000), 20 g of an adduct of bisphenol A with ethylene oxide (ADK polyether BPX21 available from Asahi Denka Kogyo KK, molecular weight 400), 26 g of polyethylene glycol (PEG, molecular weight 2,000), 26 g of
20 polydimethyl siloxane diol (a type having OH groups at both terminals, molecular weight 2,000) and 16.9 g of dimethylol propionic acid (DMPA) were put into a four-neck flask provided with a stirrer, a thermometer, an inlet tube for nitrogen and a reflux condenser. Then, 50 g of ethyl acetate as a solvent and
25 0.02 g of dibutyltin dilaurate (DBTDL) as a catalyst were added thereto, warmed to 80 by an oil bath to be allowed to react for 4 hours. Thereafter, 5.6 g of N-methyldiethanolamine (NMDETA) and

60 g of ethyl acetate were added thereto and allowed to react at 80 for 2 hours, for obtaining polyurethane prepolymer solution wherein NCO groups remain. After thus obtained polyurethane prepolymer wherein the NCO groups remain was cooled down to 50, 600 g of water containing 12.7 g of triethylamine and 3.3 g of diethanolamine was added thereto under high-speed agitation to be dispersed, and then polymerized by chain-lengthening reaction at 50 for 3 hours. The ethyl acetate was withdrawn from thus obtained dispersion and an amphoteric urethane resin dispersion substantially not including solvent and having a dimethyl siloxane chain in its structure was obtained.

Example 3

70 g of isophorone diisocyanate (IPDI), 70 g of diethylene glycdol adipate (DEGA, molecular weight 2,000), 26 g of polydimethyl siloxane diol (a type having an OH group at one terminal, molecular weight 2,000) and 16.9 g of dimethylol propionic acid (DMPA) were put into a four-neck flask provided with a stirrer, a thermometer, an inlet tube for nitrogen and a reflux condenser. Then, 50 g of ethyl acetate as a solvent and 0.02 g of dibutyltindilaurate (DBTDL) as a catalyst were added thereto, warmed to 80 by an oil bath to be allowed to react for 4 hours. Thereafter, 5.6 g of N-methyldiethanolamine (NMDEA) and 60 g of ethyl acetate were added thereto and allowed to react at 80 for 2 hours, for obtaining polyurethane prepolymer solution where NCO groups remain. After thus obtained polyurethane prepolymer wherein the NCO groups remain was cooled down to 50, 600 g of water containing 18.4 g of triethanolamine and 6.6 g

of diethanolamine was added thereto under high-speed agitation to be dispersed, and then polymerized by chain-lengthening reaction at 50 for 3 hours. The ethyl acetate was withdrawn from resin dispersion substantially not including an organic solvent and
5 having a dimethyl siloxane chain as a side chain in its structure was obtained.

Example 4

70 g of isophorone diisocyanate (IPDI), 80 g of diethylene glycol adipate (DEGA, molecular weight 2,000), 20 g of an adduct
10 of bisphenol A with ethylene oxide (Newpol BP3P available from Sanyo Chemical Industries, Ltd., molecular weight 400), 10 g of polydimethyl siloxane diol (a type having OH groups at both terminals, molecular weight 800) and 16.9 g of dimethylol proplonic acid (DMPA) were put into a four-neck flask provided
15 with a stirrer, a thermometer, an inlet tube for nitrogen and a reflux condenser. Then, 50 g of ethyl acetate as a solvent and 0.02 g of dibutyltindilaurate (DBTDL) as a catalyst were added thereto, warmed to 80 by an oil bath to be allowed to react for 4 hours. Thereafter, 5.6 g of N-methyldiethanolamine (NMDETA) and
20 60 g of ethyl acetate were added thereto and allowed to react at 80 for 2 hours, for obtaining polyurethane prepolymer solution wherein NCO groups remain. After thus obtained polyurethane prepolymer wherein the NCO groups remain was cooled down to 50, 600 g of water containing 12.7 g of triethylamine and 3.3 g of
25 diethanolamine was added thereto under high-speed agitation to be dispersed, and then polymerized by chain-lengthening reaction at 50 for 3 hours. The ethyl acetate was withdrawn from

thus obtained dispersion and an amphoteric urethane resin dispersion substantially not including a solvent and having a dimethyl siloxane chain in its structure was obtained.

Example 5

5 70 g of isophorone diisocyanate (IPDI), 80 g of diethylene glycol adipate (DEGA, molecular weight 2,000), 20 g of an adduct of bisphenol A with ethylene oxide (Newpol BP3P available from Sanyo Chemical Industries, Ltd., molecular weight 400), 26 g of polydimethyl siloxane diol (a type having OH groups at both
10 terminals, molecular weight 5,000) and 16.9 g of dimethylol propionic acid (DMPA) were put into a four-neck flask provided with a stirrer, a thermometer, an inlet tube for nitrogen and a reflux condenser. Then, 50 g of ethyl acetate as a solvent and 0.02 g of dibutyltin dilaurate (DBTDL) as a catalyst were added
15 thereto, warmed to 80 by an oil bath to be allowed to react for 4 hours. Thereafter, 5.6 g of N-methyldiethanolamine (NMDEA) and 60 g of ethyl acetate were added thereto and allowed to react at 80 for 2 hours, for obtaining polyurethane prepolymer solution wherein NCO groups remain. After thus obtained polyurethane
20 prepolymer wherein the NCO groups remain was cooled down to 50, 600 g of water containing 12.7 g of triethylamine and 3.3 g of diethanolamine was added thereto under high-speed agitation to be dispersed, and then polymerized by chain-lengthening reaction at 50 for 3 hours. The ethyl acetate was withdrawn from
25 thus obtained dispersion and an amphoteric urethane resin dispersion substantially not including a solvent and having a dimethyl siloxane chain in its structure was obtained.

Example 6

70 g of isophorone diisocyanate (IPDI), 80 g of diethylene glycol adipate (DEGA, molecular weight 2,000), 20 g of an adduct of bisphenol A with ethylene oxide (Newpol BP3P available from
5 Sanyo Chemical Industries Ltd., molecular weight 400), 52 g of polydialkyl siloxane diol (a type having OH groups at both terminals, alkyl chain length = 8 to 10, molecular weight 5,000) and 16.9 g of dimethylol propionic acid (DMPA) were put into a four-neck flask provided with a stirrer, a thermometer, an inlet
10 tube for nitrogen and a reflux condenser. Then, 50 g of ethyl acetate as a solvent and 0.02 g of dibutyltindilaurate (DBTDL) as a catalyst were added thereto, warmed to 80 by an oil bath to be allowed to react for 4 hours. Thereafter, 5.6 g of N-methyldiethanolamine (NMDETA) and 60 g of ethyl acetate were
15 added thereto and allowed to react at 80 for 2 hours, for obtaining polyurethane prepolymer solution wherein NCO groups remain. After thus obtained polyurethane prepolymer wherein the NCO groups remain was cooled down to 50, 600 g of water containing 12.7 g of triethylamine and 3.3 g of diethanolamine
20 was added thereto under high-speed agitation to be dispersed, and then polymerized by chain-lengthening reaction at 50 for 3 hours. The ethyl acetate was withdrawn from thus obtained dispersion and an amphoteric urethane resin dispersion substantially not including a solvent and having a dimethyl
25 siloxane chain in its structure was obtained.

Comparative Example 1

70 g of isophorone diisocyanate (IPDI), 106 g of diethylene glycol adipate (DEGA, molecular weight 2,000), 20 g of an adduct of bisphenol A with ethylene oxide (Newpol BP3P available from Sanyo Chemical Industries, Ltd., molecular weight 400) and 16.9 g
5 of dimethylol propionic acid (DMPA) were put into a four-neck flask provided with a stirrer, a thermometer, an inlet tube for nitrogen and a reflux condenser. Then, 50 g of ethyl acetate as a solvent and 0.02 g of dibutyltindilaurate (DBTDL) as a catalyst were added thereto, warmed to 80 by an oil bath to be allowed to
10 react for 4 hours. Thereafter, 5.6 g of N-methyldiethanolamine (NMDETA) and 60 g of ethyl acetate were added thereto and allowed to react at 80 for 2 hours, for obtaining polyurethane prepolymer solution wherein NCO groups remain. After thus obtained polyurethane prepolymer wherein the NCO groups
15 remain was cooled down to 50, 600 g of water containing 12.7 g of triethylamine and 3.3 g of diethanolamine was added thereto under high-speed agitation to be dispersed, and then polymerized by chain-lengthening reaction at 50 for 3 hours. The ethyl acetate was withdrawn from thus obtained dispersion and
20 an amphoteric urethane resin dispersion substantially not including a solvent was obtained.

Comparative Example 2

70 g of isophorone diisocyanate (IPDI), 106 g of diethylene glycol adipate (DEGA, molecular weight 2,000), 20 g of an adduct
25 of bisphenol A with ethylene oxide (Newpol BP3P available from Sanyo Chemical Industries, Ltd., molecular weight 400) and 16.9 g of dimethylol propionic acid (DMPA) were put into a four-neck

flask provided with a stirrer, a thermometer, an inlet tube for nitrogen and a reflux condenser. Then, 50 g of ethyl acetate as a solvent and 0.02 g of dibutyltindilaurate (DBTDL) as a catalyst were added thereto, warmed to 80 by an oil bath to be allowed to react for 6 hours, for obtaining polyurethane prepolymer solution wherein NCO groups remain. After thus obtained polyurethane prepolymer wherein the NCO groups remain was cooled down to 50, 600 g of water containing 12.7 g of triethylamine and 3.3 g of diethanolamine was added thereto under high-speed chain-lengthening reaction at 50 for 3 hours. The ethyl acetate was withdrawn from thus obtained dispersion and an amphoteric urethane resin dispersion substantially not including a solvent was obtained.

Comparative Example 3

65 g of dimethyl silicone emulsion FZ-4160 (available from Nippon Unicar Co., Ltd. active ingredient 45%) was added into the amphoteric urethane resin dispersion prepared in Comparative Example 1, for obtaining an amphoteric urethane resin dispersion having polydimethyl siloxane which is not chemically bonded to the amphoteric urethane resin (i.e., not covalently bonded thereto).

Mousse hair fixatives a to i were prepared by using thus obtained dispersions of Examples and Comparative Examples at mixing ratios as follows.

A mousse hair fixative a

Ingredients shown in the following Table 1 were blended at proportions shown in the same table, and mixed until thus

obtained mixture became homogenous, for obtaining X component. Then, Y component shown in the same table was added into the X component, for obtaining a mousse hair fixative

a.

5

Table 1

	(weight %)
a mousse hair fixative a	
X component	
the dispersion obtained by Example 1	3.0 (dry weight)
deionized water	77.7
polyoxyethylene stearyl ether *1	0.5
ethanol	10.0
coconut oil fatty acid diethanolamide *2	0.8
Y component	
propellant (LPG)	8.0

*1: NIKKOL BS-20 available from Nikko Chemicals Co., Ltd.

*2: Amicor CDE-1, available from Miyoshi Oil.

10

Mousse hair fixatives b, c, d, e and f

Instead of the dispersion obtained by Example 1 for the X component shown in the Table 1, each dispersion of Examples 2, 3, 4, 5 and 6 was used. Except for that, mousse hair fixatives b, c, d, e and f were prepared in the same way as the mousse hair

15

fixative a.

Mousse hair fixatives g, h and i

Instead of the dispersion obtained by Example 1 shown in the Table 1, each dispersion of Comparative Examples 1, 2 and 3 was used. Except for that, mousse hair fixatives g, h and i were prepared in the same way as the mousse hair fixative a.

20

"Curl retention" and "shampoo removability" of thus obtained mousse hair fixatives a to i were determined in accordance with the following standards therefore. The results are shown in the following Table 2.

CURL RETENTION

Each 0.6 g of the thus obtained mousse hair fixatives was applied on a separate hair swatch of black virgin hair (15 cm in length, 3 g in weight), respectively, and each 5 swatches per each
5 of Examples and Comparative Examples were prepared and were dried at 50 overnight. Next, the dried swatches were suspended on graduated boards and were put into a thermo-hydrostat where temperature was 30 and humidity was 90% RH. Each length (a) of the initial curls and each length (b) of the curls after
10 5 hours were measured and each curl retention was evaluated in accordance with the following formula. As the curl retention is nearer to 100%, it shows that the curl retention is stronger. In the formula 1, L is a length of the swatch fully extended.

Formula 1

15
$$\text{Curl retention (\%)} = \{(L - b) / (L - a)\} \times 100$$

Shampoo Removability

Each 0.6 g of the thus obtained mousse hair fixatives was applied on a separate hair swatch of black virgin black (15 cm in length, 3 g in weight), respectively, and the hair swatch was dried
20 at room temperature, for obtaining each hair-dressed swatch. After each swatch was slightly loosened in warm water of 40 for 30 seconds, 0.4 g of 10% shampoo solution was applied thereon and washed for 30 seconds. Thereafter, each swatch was rinsed in warm water of 40 so as to be washed out, and then dried
25 sufficiently at 50. Thus obtained swatch was evaluated for stiffness. In the evaluation, indicates that the dried swatch had no stiffness due to excellent shampoo removability, indicates

Also, feel, stiffness, gloss, tactile property, combability and
5 anti-flaking property of the thus obtained mousse hair fixatives a
to I were evaluated. Each 0.8 g of the thus obtained mousse hair
fixatives was applied on a separate hair swatch of black virgin hair
(25 cm in length, 5.0 g in weight), respectively, and the hair
swatch was dried at room temperature. The hair swatch was
10 determined in accordance with the following standards
therefore. The results are also shown in the following Table 2.

indicates that no hair dishevelment was caused on a swatch after being dried and elasticity was excellent, indicates that elasticity was good and indicates that feel was slightly inferior.

Indicates that no hair dishevelment was caused on a swatch after being dried and stiffness was excellent, indicates that stiffness was good and indicates that stiffness was slightly inferior.

Indicates that sheen and gloss of a swatch after being dried was excellent, indicates that gloss was good and indicates that gloss was slightly inferior.

21

Indicates that a swatch after being dried had smooth tactile property or a swatch had an excellent touch, indicates a good touch and indicates a slightly rough touch.

COMBABILITY

- 5 Indicates that combability of a swatch after being dried was excellent, indicates that combability is good, indicates that combability is slightly inferior and x indicates that combability is inferior.

ANTI-FLAKING PROPERTY

- 10 Indicates that no flake was caused on a swatch after being dried with 10-time combing, indicates that almost no flake caused and indicates that slightly flake caused.

Table 2

	mousse hair fixatives								
	a	b	c	d	e	f	g	h	i
curl retention (%)	95	90	95	95	95	95	90	90	90
shampoo removability									
feel									
stiffness									
gloss									
tactile property									
combability								x	
anti-flaking property									

- 15 From results of Table 2, it is found that mousse hair fixatives a to f are superior in all properties of curl retention, shampoo removability, feel, stiffness, gloss, tactile property, combability and anti-flaking property. Also, It is found that
- 20 mousse hair fixatives a to f are further superior to a mousse hair fixative g, which uses an amphoteric urethane resin not having a dimethyl siloxane chain in its structure, in gloss, tactile property, combability and anti-flaking property because the mousse hair

fixatives a to f use amphoteric urethane resin having a dimethyl siloxane chain in its structure. In addition, it is found that a mousse hair fixative b is excellent in shampoo removability, feel and stiffness due to the presence of an ethylene oxide chain as a nonionic hydrophilic component in the structure of the amphoteric urethane resin.

On the other hand, it is found that a mousse hair fixative g is good in curl retention, shampoo removability, feel and stiffness due to the gloss, tactile property, combability and anti-flaking property because the amphoteric urethane resin used therein does not have a dimethyl siloxane chain in its structure. In addition, a mousse hair fixative h is remarkably inferior in combability because anionic urethane resin is used, and is also inferior in other properties except for stiffness. Further, it is found that a mousse hair fixative i is improved in gloss, tactile property, combability and anti-flaking property compared with the mousse hair fixatives g and h because the mousse hair fixative i uses a dispersion containing an amphoteric urethane resin and dimethyl siloxane, but is inferior to the mousse hair fixatives a to f in the same properties because the above mentioned dimethyl siloxane is not bonded to the amphoteric urethane resin.

Next, gel hair fixatives a to i were prepared using each dispersion of Examples and Comparative Examples in accordance with the following proportions.

25 Gel Hair Fixatives a

Ingredients shown in the following Table 3 were blended in proportions shown in the same table and mixed until a viscous

gel was formed, for obtaining X component. Next, ingredients shown in Table 3 were blended in proportions shown in the same table, for obtaining Y component. Thus obtained Y component was added into thus obtained X component and mixed until it became homogeneous, for obtaining a gel hair fixative a.

TABLE 3

	(weight %)
gel hair fixative a	
X component	
thickener*	1.5 (dry weight)
triethanolamine	1.1
ethanol	10.0
deionized water	50.0
Y component	
the dispersion of Example 1	3.0 (dry weight)
deionized water	34.4

*:Structure 2001 available from National Starch and Chemical Company.

Gel Hair Fixatives b, c, d, e and f

Instead of the dispersion of Example 1 for Y component shown in the above Table 3, each dispersion of Examples 2, 3, 4, 5 and 6 was used. Except for that, gel hair fixatives b, c, d, e and f were prepared in the same way as the gel hair fixative a.

Gel Hair Fixatives g, h and i.

Instead of the dispersion of Example 1 for Y component shown in the above Table 3, each dispersion of Comparative Examples 1, 2 and 3 was used. Except for that, gel hair fixatives g, h and i. were prepared in the same way as the gel hair fixative a.

Curl retention, shampoo removability, feel, stiffness, gloss, tactile property, combability and anti-flaking property of thus obtained gel hair fixatives a to i. were determined in accordance

with the above mentioned standards therefor. The generally same results were obtained as in the case where the mousse hair fixatives a to i. were used.

In addition, spray hair fixatives a to i. were prepared using
5 the dispersions of Examples and Comparative Examples at mixing ratios as follows.

Spray Hair Fixative a

Ingredients shown in the following Table 4 were blended
in proportions shown in the same table and mixed until it
10 became homogeneous, for obtaining X component. Next, ingredients shown in the Table 4 were blended in proportions shown in same table, for obtaining Y component. Thus obtained Y component was added into the X component, for obtaining a spray hair fixative a.

15

Table 4

(weight %)

Spray hair fixative a	
X component	
The dispersion of EXAMPLE 1	3.0 (dry weight)
Deionized water	7.0
Diocetyl sodium	0.3
Sulfosuccinate*	
Ethanol	49.7
Y component	
Propellant (LPG)	40.0

20

*: Monawet MO-70E available from Mona Industries Inc.

Spray Hair Fixatives b, c, d, e and f

Instead of the dispersion of Example 1 for X component shown in the above Table 4, each dispersion of Examples 2, 3, 4, 5

and 6 was used. Except for that, spray hair fixatives b, c, d, e and f were prepared in the same way as the spray hair fixative a.

Spray Hair Fixatives g, h and I

Instead of the dispersion of Example 1 for X component
5 shown in the above Table 4, each dispersion of Comparative Examples 1, 2 and 3 was used. Except for that, spray hair fixatives g, h and I were prepared in the same way as the spray hair fixative a.

Curl retention, shampoo removability, feel, stiffness, gloss,
10 tactile property, combability and anti-flaking property of thus obtained spray hair fixatives a to I were determined in accordance with the above mentioned standards therefor. The generally same results were obtained as in the case where the mousse hair fixatives a to I were used.

15 In addition, pump spray hair fixatives a to I were prepared by using the dispersions of Examples and Comparative Examples at mixing ratios as follows.

Pump Spray Hair Fixative a

Ingredients shown in the following Table 5 were blended
20 in proportions shown in the same table and mixed until it became homogenous, for obtaining a pump hair spray fixative a.

Table 5

(weight %)

Pump spray hair fixative a	
The dispersion of Example 1	3.0 (dry weight)
dioctyl sodium sulfosuccinate*	0.3
Deionized water	96.7

*: Monawet MO-70E available from Mona Industries Inc.

25

Pump Spray Hair Fixatives b, c, d, e and f

Instead of the dispersion of Example 1 shown in the above Table 5, each dispersion of Examples 2, 3, 4, 5 and 6 was used. Except for that, pump spray hair fixatives b, c, d, e and f were prepared in the same way as the pump spray hair fixative a.

5 Pump Spray Hair Fixatives g, h and I

Instead of the dispersion of Example 1 shown in the above Table 5, each dispersion of Comparative Examples 1, 2 and 3 was used. Except for that, pump spray hair fixatives g, h and I were prepared in the same way as the pump spray hair fixative a.

10 Curl retention, shampoo removability, feel, stiffness, gloss, tactile property, combability and anti-flaking property of thus obtained pump spray hair fixatives a to I were determined in accordance with the above mentioned standards therefor. The generally same results were obtained as in the case where the
15 mousse hair fixatives a to I were used.

In addition, the cosmetic resin composition of the present invention may be used for a conditioning shaving cream agent, a film forming agent such as skin care lotion, emulsified foundation, cream foundation, eye liner and manicure, as
20 mentioned below.

Conditioning Shaving Cream Agent

Ingredients shown in the following Table 6 were mixed in the proportions as shown in the same table and was warmed up to 80, for obtaining X component. Next, ingredients shown in the
25 same table were mixed in the proportions shown in the same table and was warmed up to 80, for obtaining Y component. Then, the X component and the Y component were mixed at 80,

the resulting mixture was cooled down to 40 and antiseptic and fragrance were added at each suitable amount, for obtaining the conditioning shaving cream agent of interest.

Table 6

(weight %)

X component	
Stearic acid	8.0
Mineral oil	2.0
Isopropyl myristate	2.0
Glyceryl stearate	0.5
Y component	
Deionized water	81.6
Triethanolamine (99%)	4.2
The dispersion of Example 1	1.7

5

Skin Care Lotion

Ingredients shown in the following Table 7 were mixed in the proportions shown in the same table and was warmed up to 80, for obtaining X component. Next, Ingredients shown in the same table were mixed in the proportions shown in the same table and was warmed up to 80, for obtaining Y component. Then, the X component and the Y component were mixed and agitated at 80 for 30 minutes. 20.00 weight % of 2% Carbopol 940 aqueous solution was added into the resulting mixture and agitated until it became homogenous. Thereafter, it was cooled down to 40, for obtaining the skin care lotion of interest.

10

15

Table 7

		(weight %)
X component		
Methoxy octyl cinnamate	7.50	
Polyoxy (PO) ether stearate	1.00	
Emulsified glyceryl stearate	1.00	
Stearic acid	1.50	
A mixture of titanium dioxide and alkyl benzoate (C ₁₂₋₁₅)	1.70	
An adduct of dimethylcone with polyoxy ethylene (POE)	0.50	

Y component	
Deionized water	59.47
Triethanolamine (99%)	4.00
The dispersion of Example 1	3.33
Antiseptic	Suitable amount

Emulsified Foundation

(1) Preparation of Pigment

Ingredients shown in the following Table 8 were mixed in proportions shown in the same table and the resulting mixture was pulverized by a grinding machine, for obtaining a pigment.

(2) Preparation of an aqueous phase

After deionized water was warmed to 70, bentonite was added thereto and was swollen. Then, a preliminarily prepared dispersion where sodium carboxymethylcellulose was dispersed into propylene glycol was added thereto to be solved. Further, triethanolamine and methylparaben were added thereto to be solved, for obtaining an aqueous phase.

(3) Preparation of an oil phase

After ingredients shown in the following Table 8 were mixed in proportions shown in the following table, the resulting mixture was warmed to 70 to 80 to be solved, for obtaining an oil phase.

(4) Preparation of a pigment dispersion

After the above pigment was added into the above aqueous phase with agitation, the resulting mixture was passed through a colloid mill, for obtaining a pigment dispersion.

(5) Emulsification

After the pigment dispersion and the oil phase were warmed to 75 and 80, respectively, the oil phase was added to the pigment dispersion with agitation. Then, the resulting mixture was cooled down, and fragrance was added thereto

5 when temperature thereof was 45. The resulting mixture was further cooled with agitation down to room temperature, for obtaining the emulsified foundation of interest.

Table 8

(weight %)	
Oil phase	
Stearic acid	2.4
Propylene glycol monostearate	2.0
Cetostearyl alcohol	0.2
Liquid lanoline	2.0
Liquid paraffin	3.0
Isopropyl myristate	8.5
Propylparaben	Suitable amount
Aqueous phase	
The dispersion of Example 1	1.0
Deionized water	63.1
Sodium carboxymethylcellulose	0.2
Bentonite	0.5
Propylene glycol	4.0
Triethanol amine	1.1
Methylparaben	Suitable amount
Pigment	
Titanium oxide	8.0
Talc	4.0
Color pigment	Suitable amount
Fragrance	
Fragrance	Suitable amount

10 Cream Foundation

(1) Preparation of pigment

Ingredients shown in the following Table 9 were mixed in proportions shown in the same table and the resulting mixture was pulverized by a grinding machine for obtaining a pigment.

15 (2) Preparation of an aqueous phase

Ingredients shown in the following Table 9 were mixed in proportions shown in the same table for obtaining an aqueous phase.

(3) Preparation of an oil phase

- 5 After ingredients shown in the following Table 9 were added in proportions shown in the same table, the resulting mixture was warmed to 70 to 80 to be solved, for obtaining an oil phase.

(4) Preparation of a pigment dispersion

- 10 After the above pigment was added into the above aqueous phase with agitation, the resulting mixture was passed through a colloid mill for obtaining a pigment dispersion.

(5) Emulsification

- 15 After the pigment dispersion and the oil phase were warmed to 75 and 80, respectively, the oil phase was added to the pigment dispersion with agitation. Then, the resulting mixture was cooled down, and fragrance was added thereto when temperature thereof was 50. The resulting mixture was further cooled with agitation down to room temperature, for
20 obtaining the cream foundation of interest.

Table 9

		(weight %)
Oil phase		
Stearic acid	5.0	
Lipophilic glycerin monostearate	2.5	
Cetostearyl alcohol	1.0	
Propylene glycol monolaurate	3.0	
Liquid paraffin	7.0	
Isopropyl myristate	8.0	
Butylparaben	Suitable amount	
Aqueous phase		
The dispersion of Example 1	1.0	

Deionized water	52.3
Triethanolamine	1.2
Sorbit	3.0
Methylparaben	Suitable amount
Pigment	
Titanium oxide	8.0
Kaoline	5.0
Talc	2.0
Bentnite	1.0
Color pigment	Suitable amount
Fragrance	
Fragrance	Suitable amount

Eye Liner

After ingredients shown in the following Table 10 were mixed in proportions shown in the same table, the resulting mixture was warmed to 70 to 80 to be solved for obtaining an oil phase. Then, ingredients shown in Table 10 were mixed in proportions shown in the same table for obtaining an aqueous phase. Further, the warmed aqueous phase was added into the oil phase with agitation for emulsification. A preliminary swollen bentonite dispersion, pigment and fragrance were added in thus obtained emulsion. The resulting mixture was passed through a colloid mill to be dispersed and was cooled, for obtaining the eye liner of interest.

Table 10

15

(weight %)	
Oil phase	
Stearic acid	3.5
Bees wax	2.0
Carnauba wax	0.5
Microcrystalline wax	5.0
Aqueous phase	
The dispersion of Example 1	1.0
Deionized water	49.5
Butylene glycol	7.0
Triethanolamine	1.5

Pigment	
pigment	10.0
Others	
3% bentonite dispersion	20.0
Antiseptic	Suitable amount
Fragrance	Suitable amount

Manicure

After pigment was dispersed in ion-exchanged water, the dispersion of Example 1 and other ingredients shown in the following Table 11 were added thereto in proportions shown in the same table. The resulting mixture was mixed with agitation until it became homogenous and was deaerated finally for obtaining the manicure of interest.

10

Table 11

		(weight %)
Aqueous phase		
The dispersion of Example 1	90	
Deionized water	6.6	
Bentonite	0.6	
Pigment		
Pigment	2.5	
Others		
Fragrance	0.1	
Antiseptic	0.1	
A silicone antifoam agent	0.1	

Effect of The Invention

As described above, the cosmetic resin composition of the present invention consists essentially of amphoteric urethane resin having a carboxyl group and a tertiary amino group in one molecule thereof. Thus, using urethane resin as a main skeleton of a base resin makes it possible due to elasticity and toughness of the urethane resin that stiffness is compatible with feel, combability and anti-flaking property, which are originally

contrary to each other. Further, by using amphoteric urethane resin having a carboxyl group and a tertiary amino group, it becomes possible to prepare a hair fixative superior in waterproof against neutral water because the carboxyl group and the tertiary amino group are ion-bonded, and also superior in shampoo removability because the amphoteric urethane resin has a cationic tertiary amino group, which interacts with a negatively charged hair surface, in its molecular chain, better adhesion can be obtained compared with conventional anionic urethane resin. In addition, further improvement in gloss, tactile property, combability and anti-flaking property can be obtained by introducing polysiloxane linkage in the structure of the amphoteric urethane resin.

What is claimed:

1. A cosmetic resin composition consisting essentially of an amphoteric urethane resin having a carboxyl group and a tertiary amino group in one molecule thereof, the amphoteric urethane resin having polysiloxane linkage in its structure.
2. The cosmetic resin composition according to claim 1, wherein the cosmetic resin composition is for a hair fixative.
3. The cosmetic resin composition according to claim 1 or 2, wherein the amphoteric urethane resin is obtained by reacting the following components (A) to (D) in excess of isocyanate groups so as to produce a prepolymer containing isocyanate groups and reacting the prepolymer with the following component (E).
 - (A) a polyol compound
 - (B) a polyisocyanate compound
 - (C) a polysiloxane compound having an active hydrogen
 - (D) a compound having an active hydrogen and a carboxyl group
 - (E) a compound having an active hydrogen and a tertiary amino group
4. The cosmetic resin composition according to claim 1 or 2, wherein the amphoteric urethane resin is obtained by reacting the following components (A), (B), (C) and (E) in excess of

isocyanate groups so as to produce a prepolymer containing isocyanate groups and reacting the prepolymer with the following (D).

- (A) a polyol compound
- 5 (B) a polyisocyanate compound
- (C) a polysiloxane compound having an active hydrogen
- (D) a compound having an active hydrogen and a carboxyl group
- 10 (E) a compound having an active hydrogen and a tertiary amino group.

5. The cosmetic resin composition according to any of claims 1 to 4, wherein the amphoteric urethane resin is dispersed or
15 solubilized into water.

6. A cosmetic using the cosmetic resin composition according to any of claims 1 to 5.

20 7. The cosmetic according to claim 6, wherein the cosmetic is at least one selected from the group consisting of a mousse hair fixative, a gel hair fixative, a spray hair fixative, a pump spray hair fixative, a conditioning shaving cream agent and a film forming agent.

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(54) Title: **COSMETIC RESIN COMPOSITION**

(57) Abstract: To provide a cosmetic resin composition for preparation of a hair fixative having all properties of stiffness, shampoo removability, feel, gloss, combability and anti-flaking property. A cosmetic resin composition consisting essentially of an amphoteric urethane resin having a carboxyl group and a tertiary amino group in one molecule thereof, the amphoteric urethane resin having polysiloxane linkage in its structure.

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled COSMETIC RESIN COMPOSITION AND COSMETIC USING THE SAME the specification of which

(check one) ☐ is attached hereto
☒ was filed on _____ as
 Application Serial No. 10/009,960
 and was amended on December 12, 2001 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)	PCT	April 13, 2000	Priority Claimed
<u>PCT/US 00/09957</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	Yes No
<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	Yes No
<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	Yes No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a), which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>(Application Serial No.)</u>	<u>(Filing Date)</u>	<u>(Status-patented, pending, abandoned)</u>
<u>(Application Serial No.)</u>	<u>(Filing Date)</u>	<u>(Status-patented, pending, abandoned)</u>

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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